# Chapter

## **GLOSSARY AND ACRONYMS**

### Glossary

**ACCREDITATION**: A peer review process by which an organization is evaluated against established clinical, financial and organizational standards on a regularly scheduled basis. In Indiana, JCAHO and CARF accredit mental health and addiction provider organizations.

ACTUARIAL NEEDS ASSESSMENT: Examination of the pattern of service utilization and need across specific consumer groups over a specified period of time to establish insurance premiums and provide payments.

**ALLOCATION**: As used in this report, the DMH action determining dollars available to a particular activity.

**APPROPRIATION**: A legislative act authorizing the expenditure of a designated amount of public funds for a specific purpose. In this report, appropriation figures are used when expenditures are not available.

ASSESSMENT INSTRUMENT: Used by the Division's managed care providers to establish clinical eligibility for the Hoosier Assurance Plan. Costs, service and outcome data are also measured by the two instruments, the Hoosier Assurance Plan Instrument-Adults (HAPI-A) and the Child and Adolescent Functional Assessment Scale (CAFAS): Miniscale Version.

**BEHAVIORAL HEALTH CARE**: Care and treatment for behavioral, emotional and mental problems and disorders, including mental illness, alcohol and drug dependencies, addiction, mental retardation and developmental disabilities.

**BLENDED FUNDING**: A consolidation of various State and federal funds into one account.

**BLOCK GRANT**: An allotment of funds to the State each fiscal year in an amount based on the State's submitted plan. DMH receives two federal block grants, the Community Mental Health Services (CMHS) block grant and the Substance Abuse Prevention and Treatment (SAPT) block grant.



CMHS funds received are to be expended only for the purpose of:

- (1) carrying out the plan submitted by the State providing comprehensive community mental health services to adults with SMI and children with SED,
- (2) evaluating the programs and services carried out under the plan, and
- (3) planning, administration and educational activities related to providing services under the plan.

SAPT funds received are to be expended only for the purpose of planning, carrying out, and evaluating activities to prevent and treat substance abuse and for related activities.

**BUDGET:** As used in this Report, a combination of appropriated and expended dollars. The term "budget" is used when actual expenditures were available for some but not all categories.

**CASE MANAGEMENT**: Goal-oriented activities that locate, facilitate, provide access to, coordinate or monitor the full range of basic human needs, treatment and service resources for individuals. Intensive case management assertively monitors those individuals at risk of noncompliance with beneficial treatment regimens.

**CERTIFICATION**: Governmental regulatory process that establishes good standing for certain health care practitioners and organizations or programs through evaluation of minimum standards and safety practices. The Division of Mental Health certifies addiction services providers, community mental health centers, residential care providers, managed care providers, and sub-acute care units.

#### CHRONIC ADDICTIVE DISORDER: Disorder in which:

- (A) The individual has a substance-related disorder diagnosed under the DSM-IV, published by the American Psychiatric Association;
- (B) The individual experiences significant functional impairments in two of the following areas:
  - activities of daily living,
  - interpersonal functioning,
  - ability to live without recurrent use of chemicals, and/or
  - psychological functioning.
- (C) The duration of the addiction has been in excess of 12 months. However, individuals who have experienced amnestic episodes (blackouts), or have experienced convulsions or other serious medical consequences of withdrawal from a chemical abuse, or who display significant dangerousness as a result of chemical use, do not have to meet the durational requirement.

**COMMUNITY-BASED CARE**: The assortment of health and social services provided to an individual or family in the community for the purpose



of promoting, maintaining and/or restoring health and self-sufficiency and minimizing the effects of illness and disability.

**COMMUNITY MENTAL HEALTH CENTER (CMHC)**: A provider of mental health and addiction services that meets the following conditions:

- is approved by the Division of Mental Health
- is organized for the purpose of providing multiple services for persons with mental illness or a chronic addictive disorder, and
- is operated by an approved entity described in IC 12-7-2-38.

**COMPULSIVE GAMBLING DISORDER**: Persistent and recurrent maladaptive gambling behavior that disrupts personal, family, or vocational pursuits.

**CONSUMER**: A person who has received or is receiving mental health or addiction services.

**CONTINUUM OF CARE**: As defined in IC 12-7-2-40.6, a range of services for mental health or addiction consumers assured by a community mental health center or a managed care provider.

**CO-OCCURRING DISORDERS:** Persons with co-occurring disorders of mental illness and substance abuse.

**CRITICAL POPULATIONS:** Critical populations include individuals/groups who have been under-served and/or omitted from receiving mental health and addiction services. This includes, but is not limited to: African Americans, Hispanics/Latino, Asian/Pacific Islanders, Native Americans, homeless, older adults, the deaf and hard-of-hearing, migrants, persons with physical disabilities and persons with HIV/AIDS.

**CULTURAL COMPETENCE:** Cultural competence is a set of congruent behaviors, attitudes, and policies that come together in a system, agency, or among professionals and enables this system, agency or those professionals to work effectively in cross-cultural situations.

**DEFICIT FUNDING**: A financing strategy utilized to pay for publicly-funded community mental health services in which the State funds the difference or a portion of the difference between a provider's total revenue and total expenditures for a defined set of services.

**DEVELOPMENTAL DISABILITY**: A disability which usually is first evident in infancy, childhood or adolescence and which is characterized by delays in the acquisition of cognitive, language, motor, or social skills. Examples are mental retardation, epilepsy and autism.



**DSM-IV**: The Diagnostic and Statistical Manual of Mental Disorders, developed by the American Psychiatric Association, which defines and classifies mental and addictive disorders.

#### **DUALLY DIAGNOSED**: Refers to either:

- persons with a co-existing mental illness and substance abuse disorder, or
- persons with a co-existing mental illness and a developmental disability.

**EXPENDITURE**: Accounting of actual dollars spent during a specified time-period, usually a State fiscal year. At times, projected expenditures were used in this Report when actual expenditures were not available.

**FEDERAL FISCAL YEAR (FFY)**: The one-year period of time from October 1 of one year to September 30 of the following year.

**FUNDING**: As used in this report, a term describing dollars allocated, appropriated, or expended, or a combination thereof.

**GATEKEEPER**: An entity actively involved in the evaluation, planning, treatment and transition back into the community of an individual committed to a State hospital administered by the Division of Mental Health. Gatekeepers include the community mental health centers, addiction treatment providers, a Division of Disability, Aging and Rehabilitation Services case manager, and the Division of Mental Health.

**GRANT-IN-AID**: A financial award to enhance the mission of an organization. The organization's access to this type of funding is not usually tied to performance criteria.

**HOOSIER ASSURANCE PLAN (HAP)**: The Division of Mental Health managed care strategy designed to reform the method of funding and the delivery of mental health and addiction services by the State of Indiana.

**INTERVENTION**: Purposeful activities to initiate resolution of mental, emotional, alcohol or other drug disorders. Activities typically focus on involving affected persons in therapeutic activities.

**LICENSURE**: Governmental regulatory process which establishes good standing for health care practitioners, organizations or programs through evaluation of minimum standards and safety practices. The Division of Mental Health licenses supervised group living facilities and private mental health institutions.



**MANAGED CARE**: Various strategies that seek to optimize the value of provided services by controlling their cost and utilization, promoting their quality, and measuring performance to ensure cost-effectiveness.

MANAGED CARE PROVIDER: In Indiana, a non-profit entity that provides mental health and/or addiction services, has the administrative capacity to provide a continuum of care in the least restrictive appropriate setting, and has entered into a provider agreement with the Division of Mental Health.

**MEDICAID**: A joint federal-State program which finances health care for low-income and/or categorically eligible people.

**MEDICAID REHABILITATION OPTION (MRO)**: A means of paying for community-based outpatient and case management mental health services through community mental health centers for Medicaid-eligible persons using a combination of State and federal dollars.

**MENTAL ILLNESS**: All forms of illness in which psychological, emotional or behavioral disturbances are the dominating feature and which can substantially diminish the capacity for coping with ordinary demands of life.

**METHADONE**: An organic compound used in treating heroin and other opioid dependence.

MONTHLY ENROLLMENT & REIMBURSEMENT REPORT (MERR): Report submitted to DMH by its mental health and addiction managed care providers listing contract information regarding clients and which produce enrollment and the subsequent verification of reimbursement for these clients.

**OMBUDSMAN PROGRAM**: Program operated by the Mental Health Association in Indiana, Inc., under contract with the Division of Mental Health to address questions and concerns from clients moving from Indiana State hospitals into the community. The goal is to prevent problems from becoming so serious that rehospitalization is necessary.

**OUTCOME MEASURES**: A technology for measuring consumer experience designed to help consumers, payers and providers make rational health care-related choices based on better insight into the effect of these choices on the consumer's life.

**OUTPATIENT SERVICES**: Services received by non-hospitalized persons consisting of periodic contact of short duration, including such activities as medication monitoring, ambulatory detoxification, social club, and individual, family and group therapy.



**PARITY**: Insurance coverage comparability between mental health care and addiction services benefits and general medical/surgical benefits.

**PARTIAL HOSPITALIZATION**: Ambulatory treatment, available four or more hours per day, four or more days per week, which offers major diagnostic, medical, psychiatric, psychosocial, pre-vocational and educational modalities for patients with serious psychiatric disorders requiring coordinated, intensive, comprehensive multidisciplinary treatment not available in an outpatient clinical setting.

**POVERTY**: As defined by the federal government, a person is "in poverty" if the household in which the person resides has an annual income below a predetermined level.

**PREVALENCE**: In epidemiology, the total number of cases of a condition or illness in a given population over a specified period of time, usually a year.

**PREVENTION**: A multi-faceted proactive process consisting of education, consultation and other activities that empower individuals and promote healthy behaviors and lifestyles.

**PREVENTION ACTIVITIES**: A structured series of activities designed to provide continuing services to participating youth from a target audience over a period of time sufficient to produce a predictable impact upon substance-using behavior.

**PROSPECTIVE CAPITATION PAYMENT**: A contractual method of purchasing services for an individual by which an agreed-upon amount is paid to a provider in advance of service delivery in exchange for future provision of services as needed by that individual for a predetermined amount of time.

**PROVIDER PANEL MEMBER**: An entity that is a subcontractor of a managed care provider to provide one or more services as a part of the continuum of care.

**PROVIDER PROFILE REPORT CARD**: A report published annually by DMH that assesses a provider's effectiveness related to delivery of purchased services, particularly accessibility and acceptability of services to consumers and value of the service determined by objective measurement of consumer-related outcomes.

**QUARTERLY SERVICE PROFILE REPORT (QSPR)**: Report submitted to DMH by certified managed care providers for services to adults with serious mental illness, children and adolescents with serious emotional disturbance,



and persons with chronic addictive disorders, listing client-based revenue and service data for each HAP enrollee.

**RESIDENTIAL SERVICES**: Services provided in a variety of 24-hour settings to consumers who can benefit from a comprehensive range of treatment and habilitative/rehabilitative services, including education, group, individual and family and skills therapy. Category includes: Supervised Group Living (SGL), Alternative Family for Children and Adolescents (AFC), Alternative Family for Adults (AFA), Semi-independent Living programs (SILP), and Sub-acute Stabilization programs for mental health consumers and transitional residential (halfway house) services for persons with chronic addictive disorders.

**RURAL COUNTY**: Per the U.S. Census Bureau, a county with less than 100 persons per square mile.

**SCHIZOPHRENIA**: A major mental disorder of unknown cause typically characterized by a separation between the thought processes and the emotions, a distortion of reality accompanied by delusions and hallucinations, a fragmentation of the personality, motor disturbances and bizarre behavior, often with no loss of basic intellectual functioning.

**SERIOUS EMOTIONAL DISTURBANCE (SED)**: Childhood disorder in which:

- (A) The child has a mental illness diagnosis under DSM IV, published by the American Psychiatric Association;
- (B) The child experiences significant functional impairment in at least one of the following areas:
  - · activities of daily living,
  - interpersonal functioning,
  - concentration, persistence and pace, or
  - adaptation to change, and
- (C) The duration of the disorder has been, or is expected to be, in excess of 12 months. Children who have experienced a situational trauma and who are receiving services in two or more community agencies do not have to meet the durational requirement.

### SERIOUS MENTAL ILLNESS (SMI): Adult disorder in which:

- (A) The individual has a mental illness diagnosis under the DSM IV, published by the American Psychiatric Association;
- (B) The individual experiences significant functional impairment in two of the following areas:
  - · activities of daily living,
  - interpersonal functioning,
  - concentration, persistence and pace, and/or
  - · adaptation to change, and



(C) The duration of the mental illness has been, or is expected to be, in excess of 12 months. Adults who have experienced a situational trauma do not have to meet the durational requirement.

**STATE FISCAL YEAR (SFY)**: In Indiana, the one-year period of time from July 1 of one year to June 30 of the following year.

**SUPPORTED EMPLOYMENT PROGRAMS**: Programs providing an array of services to help assure the successful participation of persons with a mental illness in competitive work.

**SYNAR AMENDMENT**: Federal law requiring states to adopt and enforce laws that make it illegal to sell tobacco products to minors.

**TARGET POPULATIONS**: Populations eligible for DMH funding, which are: seriously mentally ill adults, seriously emotionally disturbed children and adolescents, and persons with a chronic addictive disorder.

**TREATMENT**: Methods employed to attain, maintain, and/or re-establish emotional and/or physical health as well as maximum growth and adaptive capabilities.

**URBAN COUNTIES**: Per the U.S. Census Bureau, counties with more than 100 persons per square mile.

**WARM LINE**: A telephone line manned by trained consumers providing crisis intervention counseling.

**WRAPAROUND**: A process for planning the delivery of comprehensive child and family-centered services and supports through flexible service plans that tie interventions to the strengths of the individual, family, teacher and other service providers.



### **Acronyms**

AIDS Acquired Immune Deficiency Syndrome

AIS Addiction Integrated Services
ATOD Alcohol, Tobacco and Other Drugs

BBB Building Bright Beginnings

**BDD** Bureau of Developmental Disabilities

CA Chronically Addicted or Chronic Addictive Disorder

**CAFAS** Child and Adolescent Functional Assessment Scale: Miniscale

Version

CCATP Cultural Competency Action Training Project CCRC Continuing Care Retirement Communities

**CHINS** Children in Need of Services

CHIP Children's Health Insurance Program
CMHC Community Mental Health Center

**CMHS** Center for Mental Health Services (federal)

**CMHS** Community Mental Health Services (federal block grant)

CSAP Center for Substance Abuse Prevention (federal)
CSAT Center for Substance Abuse Treatment (federal)

**CSDS** Community Services Data System

CTF Community Transition Fund
DCA Deaf Substance Abuse

**DDARS** FSSA Division of Disability, Aging and Rehabilitative Services

DED Deaf Serious Emotional DisturbanceDFC FSSA Division of Family and Children

**DGM** Deaf Gambling

DMH FSSA Division of Mental Health
DMI Deaf Serious Mental Illness
DOC Indiana Department of Correction
DOE Indiana Department of Education

**DSM-IV** Diagnostic and Statistical Manual of Mental Disorders, Fourth

Edition

**EDS** Electronic Data Systems, Inc.

**EPCC** Evansville Psychiatric Children's Center

**ESH** Evansville State Hospital FDA Food and Drug Administration

FFY Federal Fiscal Year
FPL Federal Poverty Level

**FSSA** Indiana Family and Social Services Administration

**GAM** Compulsive Gambling Disorder

**HAP** Hoosier Assurance Plan

HAPI-AHCFAHealth Care Financing AdministrationHIVHuman Immunodeficiency Virus

**HUD** U.S. Department of Housing and Urban Development

IAC Indiana Administrative Code



IC Indiana Code

**ICCMHC** Indiana Council of Community Mental Health Centers

ICF/MR Intermediate Care Facilities/Mentally Retarded

**ICMHSR** Indiana Consortium for Mental Health Services Research

ICST Incompetent to Stand Trial

**IPRC** Indiana University Prevention Resource Center

**ISDH** Indiana Department of Health

**IU** Indiana University

JCAHO
Joint Commission on Accreditation of Healthcare Organizations
KDA
Knowledge Development and Application Program (federal)

KEY Knowledge Empowers You Local Coordinating Councils

**LCH** Larue D. Carter Memorial Hospital

**LSH** Logansport State Hospital

MCMHA Marion County Mental Health Association

MCP Managed Care Provider

MERR Monthly Enrollment and Reimbursement Report

MHAC DMH Mental Health Advisory Council
MHSPY Mental Health Services Program for Youth
MICA Mental Health - Chemical Addiction Unit

MRO Medicaid Rehabilitation Option

MSH Madison State Hospital

**NASADAD** National Association State Alcohol and Drug Association

Directors

**NASMHPD** National Association of State Mental Health Program Directors

**OMPP** FSSA Office of Medicaid Policy and Planning

**OVR** Office of Vocational Rehabilitation

**PASARR** Pre-Admission Screening and Resident Review

**PATH** Programs for Assistance in Transition from Homelessness

P.L. Public Law

**PSUPP** Prenatal Substance Use Prevention Program

**QSPR** Quarterly Service Profile Report

**RADAR** Regional Alcohol and Drug Awareness Resource

RCO Regional Coordinating Offices
RSH Richmond State Hospital

**SA** Substance Abuse

**SAMHSA** Substance Abuse and Mental Health Services Administration

(federal)

SAPT Substance Abuse Prevention and Treatment (federal block

grant)

SECT Supported Employment Consultation and Training Center -

Anderson CMHC

**SED** Serious Emotional Disturbance

**SFY** State Fiscal Year

SGL Supervised Group Living Residential Program
SILP Semi-Independent Living Residential Program



**SMI** Serious Mental Illness

**SMO** Persons Receiving Only Methadone Treatment

S+C Shelter Plus Care

**SPH** State Psychiatric Hospital

**SPRANS** Special Projects of Regional and National Significance

SSD Social Security Disability

SSI Supplemental Security Income

SWD Chronically Addicted Women with Dependent Children or

Pregnant

TRIP Tobacco Retail Inspection Program

